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# Guide to AS/EN 9100 Transfer Audit

Transferring to TÜV SÜD as your certification body



## Guide to AS/EN 9100 Transfer Audit

TÜV SÜD is accredited to work within the aviation, space and defence (ASD) industry's Controlled Other Party-ICOP scheme, in addition to ISO/IEC 17021-1 standard requirements for the 9100-series of Aerospace Quality Management System (AQMS) standards. By choosing to transfer to TÜV SÜD as your CB, your AS/EN 9100 certification will be instantly recognised and accepted internationally.



## Eligibility Criteria for Customers\*

Customers with valid AS/EN 9100 certificates can transfer to TÜV SÜD before the scheduled surveillance or re-certification audits if the following criteria are met:



## **Previous certification process**

The certification process of the existing CB meets the compliance requirements outlined in AS/EN 9104-1, AS/EN 9101, IAQG Resolution, ISO 17021, and IAF/MDs.

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## Valid transfer audit

The previous transfer has been confirmed by a valid transfer audit with a positive result.



## Audit reports

Valid certificate and audit reports in English for all customer sites, with all adequately closed non-conformities (NCs) from the last three years, shall be attached to the TÜV SÜD audit documentation for pre-transfer review.



## Verification

TÜV SÜD auditors will verify that all NCs (including 100% resolved NCs) have been "closed" by the existing CB before TÜV SÜD initiates the transfer audit.



## **Complaints closure**

Closure of complaints, or other issues requiring clarification, have used the OASIS feedback process.



## Deadlines

The customer has met the deadlines defined by the existing CB.

## Key Considerations for Customers\*



## **Special audit**

A transfer cannot be performed during a regular surveillance or re-certification audit.



## Notification

TÜV SÜD will communicate to the previous CB using the OASIS feedback process. The customer should not cancel the contract with the existing CB unless all the transfer activities, including the submission of the certificate and the entry of change in the IAQG/OASIS database, are completed.



## **Audit planning**

If the adequate closing of all nonconformities given by the existing CB requires more than 90 days, transfer of the valid certificate to TÜV SÜD will not be permitted.

#### Note:

\*Transfer audit rules mentioned here are explained as a macro-level illustration. There may be some additional requirements for certificate transfers w.r.t. existing CB's accreditation status, customer's ownership, name change, relocation etc.



## Timescales

TÜV SÜD will complete the transfer process before the next surveillance or re-certification audit is due.



## Period of validity

TÜV SÜD will issue the newly transferred certificate with the residual period of validity taken over.



# Transfer your AS/EN 9100 certification audit to TÜV SÜD

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